

Moody, Lynn, Lieberson & Walker, LLC
Privacy Notice
January 2023

Moody, Lynn, Lieberson & Walker, LLC is committed to protecting the confidentiality and security of our clients' information that we obtain as your investment advisor. We recognize and respect the privacy expectations of each of our clients and we believe that confidentiality and protection of investor information is one of our fundamental responsibilities.

We do not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you. The purpose of this notice is to inform our clients of the details of our privacy policy.

Information We Collect

We collect and use client's non-public personal information such as name, address, date of birth, social security number, assets, account transactions and income. This information comes primarily from account applications, financial statements or other forms you submit to us. We also receive your personal information through oral, written or electronic communication. We use this information to administer and manage your accounts, process transactions, and provide services to your accounts.

We also collect your personal information from others such as custodians, broker/dealers, clearing firms, accounting firms and other companies. We may disclose the information to third parties as permitted by law, including the broker/dealers, custodians or other companies used to provide services to you. From time to time, we may be required to give information about our business to regulatory authorities.

Security of Client Information

To safeguard your personal information from unauthorized access and use, we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect the client's personal information.

Opt-Out Provision

As required by applicable law, if at any time it is necessary to disclose any client personal information in a way that is inconsistent with this policy, we will give our clients advance notice of the proposed disclosure so that they will have the opportunity to either opt-in or opt-out of such disclosure.

Clients are advised that we believe that sharing client's private information under the circumstances noted above is either mandated by law or necessary to conduct its business and to best service our client accounts. Clients who wish to opt out of any third-party disclosures should contact us immediately. Opting out may necessitate that we terminate our management agreement.

Changes to our Privacy Policy

Moody, Lynn, Lieberson & Walker, LLC provides an initial Privacy Notice to clients who establish an advisory relationship with us, and annually thereafter. Periodically we may revise our Privacy Policy and will provide a revised Notice if the changes materially alter the previous Policy. However, we will not revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent and opt out of the information sharing. If you have any questions or require further information, please contact our compliance personnel at 617-973-0590.